

Dear All,

Further to the ESMA update on the maintenance windows of the ESMA Financial Instruments Reference Data System (FIRDS) and the ESMA Transparency System (FITRS), published 8 April 2019:

- ESMA FIRDS - maintenance window starting from Friday 12 April 2019 21:30 CEST until Wednesday 17 April 2019 12:00 CEST (noon)
- ESMA FITRS - maintenance window starting from Friday 12 April 2019 21:30 CEST until Monday 22 April 2019 12:00 CEST (noon)

As previously communicated, during the ESMA maintenance period, the DSB will continue generating files in the ToTV File Download area as per the normal process:

1. Last files from ESMA FIRDS and Transparency before the maintenance period are expected to be ESMA files dated 12 April 2019
 - a. Any ESMA files made available before 9am CEST on 12 April will be included in the DSB ToTV files dated 12 April 2019
 - b. Any ESMA files made available after 9am CEST on 12 April will be included in the following day's DSB ToTV file generation process, i.e. available in ToTV files dated 13 April 2019
2. The DSB will continue to generate ToTV files dated 13-17 April 2019 for any DSB-issued ISINs created/updated in this period
 - a. For OTC ISINs created in this period - the DSB ToTV files will not include FIRDS Reference Data or Transparency components on those days due to unavailability of ESMA FIRDS and Transparency information
 - b. For any OTC ISINs that are updated (e.g. those ISINs that expired) - the DSB ToTV files will include FIRDS Ref Data/Transparency components as known by the DSB before the maintenance window
3. Following ESMA data availability, ESMA FIRDS data made available before 9am CEST on 18 April 2019 will be included in the DSB's ToTV files dated 18 April 2019

Please note that the above DSB ToTV schedule is contingent on ESMA FIRDS and FITRS systems being available from the end of the aforementioned maintenance windows. If ESMA extend the maintenance windows, whilst being outside of the control of the DSB, the DSB will endeavour to communicate updated information to its users.

Please also note that the above schedule will, where applicable, affect both "v1" and "v2" of the DSB's ToTV offering. The latest DSB UK ToTV/uToTV specification is available [here](#) . ToTV v2 is scheduled to go live on 15 April 2019, conditional on a "No-Deal" Brexit on 12 April 2019. In the event of further guidance from the UK FCA or the UK government, the DSB will communicate any change to this date.

Regards,
DSB Technical Support Team

From: DSB Technical Support

Sent: Tuesday, 26 March 2019 8:28 PM

Cc: DSB Technical Support <technical.support@ANNA-DSB.com>

Subject: NOTIFICATION: DSB approach to ToTV File Download operation during ESMA FIRDS and FITRS maintenance window and ESMA registers update [in the event of a no-deal Brexit]



NOTIFICATION: DSB approach to ToTV File Download operation during ESMA FIRDS and FITRS maintenance window and ESMA registers update [in the event of a no-deal Brexit]

Audience: All DSB Users

Notification details:

Following ESMA's [Operational plan in the event of a no-deal Brexit](#), and specifically maintenance windows for both the ESMA Financial Instruments Reference Data System (FIRDS) and the ESMA Transparency System (FITRS):

- ESMA FIRDS - maintenance window starting from Friday 29 March 2019 21:30 CET until Wednesday 3 April 2019 12:00 CET (noon)
- ESMA FITRS - maintenance window starting from Friday 29 March 2019 21:30 CET until Monday 8 April 2019 12:00 CET (noon)

The DSB would like to inform its users that during the ESMA maintenance period, the DSB will continue generating files in the ToTV File Download area as per the normal process:

- Last files from ESMA FIRDS and Transparency before the maintenance period will be ESMA files dated 29 March
 - Any ESMA files made available before 9am CET on 29 March will be included in the 29 March ToTV files
 - Any ESMA files made available after 9am CET on 29 March will be included in the next day's ToTV files generation, i.e. 30 March ToTV files
- 30 March-3 April ToTV files will continue to be generated for any DSB-issued ISINs created/updated in this period
 - For newly created ISINs, the files will not include FIRDS Ref Data or Transparency components on those days due to unavailability of ESMA FIRDS and Transparency
 - For any ISIN updates (e.g. those ISINs that expired), the files will include FIRDS Ref Data/Transparency components as known by the DSB before the maintenance window
- Any data ESMA FIRDS makes available before 9am CET on 4 April will be included in the 4 April ToTV files
- Due to a large number of expected updates, it is possible that processing of a day's worth of data will take longer than one day. If the next day's data is made available by ESMA FIRDS while the DSB is still processing the previous day's data, the DSB will be processing data subsequently, i.e. the next day's files will only be generated on completion of previous day's files generation.

Following ESMA's plan to no longer display UK Systematic Internalisers (SIs) and trading venues (Regulated Markets, MTFs, and OTFs) post Brexit as stated in (5) ESMA's registers and data [here](#), the DSB will continue to publish a pre-Brexit [DSB's consolidated list of MICs](#), along with making available a consolidated list of MICs post-Brexit. More details to follow.

Please contact technical.support@anna-dsb.com for all support & connectivity issues

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