ANNA
ISIN NUMBERS THE WORLD
DSB | Derivatives Service Bureau

Important information – OTC ISIN service continuity with the introduction of the Unique Product Identifier (UPI) Service.

Dear User,

For continuity of the OTC ISIN service with the introduction of the Unique Product Identifier (UPI) Service, the DSB would like to advise users of upcoming changes in the DSB's UAT, UAT2 and Production environments and invite users to take part in a short survey.

Changes are required to accommodate the forthcoming UPI Service and will be applicable to all current OTC ISIN users. The UPI Service will utilise the existing OTC ISIN Service provision, reducing users' technology burden so that institutions already connected to the DSB can overlay their UPI related workflows in an integrated manner.

No immediate action is required with details to be communicated to users affected by these changes in the coming months.

<u>OTC ISIN Programmatic User Connectivity:</u>

- Username – Usernames in API connections to the DSB will require updating to a new format as current usernames will not be compatible with the new authentication process.
- Port Details - A new port will be assigned as a result of a simplification of the design.

<u>OTC ISIN Product Templates:</u>

- New derived "UPI code" attribute in all OTC ISIN templates – *a new derived attribute, the UPI code will be added to each product template.*
- Pre-population of OTC ISINs with a UPI parent– *Existing OTC ISINs will be populated with their UPI parent.*
- Orphan OTC ISINs – *Some OTC ISINs will not be pre-populated with their UPI parent because the UPI validation rules are different and a UPI parent cannot be created. Orphan OTC ISINs will have 'Status' = 'Deprecated' and will be maintained and available for searching.*
- Minor versioning – *As part of the Pre-population process, each OTC ISIN will have a 'minor' incrementation of the template version (e.g. an OTC ISIN of Rates.Swap.Fixed_Float.InstRefDataReporting.V2 type will be migrated to Rates.Swap.Fixed_Float.InstRefDataReporting.V2M1)*
- New Products will be added and enhancements to data validation for existing product templates will be introduced to improve data quality.

<u>OTC ISIN GUI access:</u>

- Users with access to more than one environment for their OTC ISIN Service (e.g. UAT and Production) – *Users will have one username (as now, an email address) and password for all environments.*
- Password resets – *Account passwords may be reset as part of the updates.*

<u>OTC ISIN End of Day Files:</u>

- The structure of the File Download area will be updated to accommodate the UPI.
- Additional attributes will be included in the End of Day Files.

DSB Product Definitions Github Repository:

- New environmental branches will be added to the Github repository.
- The structure of the Github Repository will be updated to accommodate the UPI.

The DSB expects to make the UPI UAT environment available in late Q1 or Q2 2023 and the UPI Production environment 6 months later in late Q3 or Q4 2023. The DSB will confirm the exact timeline once upcoming regulatory mandates are announced.

For further information on the UPI please visit the UPI page on the DSB website.

If you are an existing OTC ISIN user who needs to subscribe to the UPI Service, the DSB would greatly appreciate if you could complete a short questionnaire. This will enable arrangements to be made for timely onboarding and to consider regional interest in the new service as part of onboarding preparations.

Please click on this link to participate in the survey - https://www.surveymonkey.co.uk/r/TKZBQHB