Derivatives Service Bureau (DSB)

SUBSCRIPTION FORM – Ancillary Services

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| **SUBSCRIPTION FORM DATE** | | The date the last party signing the Subscription Form signed it (as specified next to its signature) |
| **VERSION NUMBER** | | [INSERT] |
| **PARTIES** | | |
| (1) | **Derivatives Service Bureau (DSB) Ltd** (Company No. 10542063), a company incorporated under the laws of England and Wales whose registered office is at Suite 21-23, 107 Cheapside, London, EC2V 6DN, United Kingdom (**“DSB”**);and | |
| (2) | the entity identified in section B (“**User**”)  each a “**party**” and together being the “**parties**”. | |

SECTION A – INTRODUCTION

1. The DSB provides services enabling access to: (i) International Security Identification Numbers for OTC Derivatives and the associated sets of attributes and values (“OTC ISIN Services”); and (ii) Unique Product Identifiers for OTC Derivatives and the associated sets of attributes and values (“UPI Services”) (collectively “Core Services”).
2. The DSB offers the optional services described in its Additional Terms for Ancillary Services (available at <https://www.anna-dsb.com/download/dsb-additional-terms-for-ancillary-services/>) (“Ancillary Services”) to users of its Core Services.
3. The User wishes to make use of an Ancillary Service on behalf of itself and, subject to paragraph 5, its Affiliates.
4. This Subscription Form sets out the details of all Subscriptions held by the User for Ancillary Services. Each Subscription is a separate agreement between the parties, comprising:
   * 1. the Main Terms, available at <https://www.anna-dsb.com/dsb-policies>;
     2. the Policies, available at <https://www.anna-dsb.com/dsb-policies> (to the extent applicable to Ancillary Services);
     3. sections A and B of this Subscription Form; and
     4. the details of the relevant Subscription in section C of this Subscription Form.
5. The User must specify their organisation type from the list provided in section B of this Subscription Form. Only those User Affiliates that are of the same organisation type as a Category 2 User and are listed in section B shall be entitled to the benefit of the Subscriptions. Affiliates of a Category 1 User are not entitled to the benefit of the Subscriptions.
6. Capitalised terms used in this Subscription Form have the meanings given to them in the Main Terms, Policies or additional terms referred to in section C.
7. Any changes to this Subscription Form (including changes to the User details in section B or any of the Subscription Details in section C) shall be made by the parties signing a new version containing the changes. Each new version of this Subscription Form supersedes any previous versions signed by the parties and constitutes an amendment to the terms of all relevant Subscriptions for the purposes of clause 1.5 of the Main Terms. Section D contains a record of all changes to this Subscription Form for reference purposes but does not form part of any Agreement.

SECTION B - USER DETAILS

|  |  |
| --- | --- |
| **User name** | [INSERT NAME] |
| **Jurisdiction of incorporation** | [COUNTRY SELECTION FIELD] |
| **Company number** | [INSERT NUMBER] |
| **Registered address** | [INSERT ADDRESS] |
| **User’s Legal Entity Identifier (LEI)** | [INSERT NUMBER] |
| **User’s organisation type** | **CATEGORY 1** – Brokerage / Trade Execution Platform – MTF / Trade Execution Platform – OTF / Trade Execution Platform – Other / Trade Execution Platform – SEF / Trade Repository  [OR]  **CATEGORY 2** – Bank / Clearing House / Commodity Trading Advisor / Custodian / Data Management Provider / Institutional Asset Management Services / Non-financial / Other Financial / Pension Fund Manager / Technology Service Provider / Trade Association / Wealth Management Services] |
| **Address for Notices** (see Main Terms, clause [18.1]) | **FAO**: [INSERT NAME], [INSERT POSITION]  (or their successor in this role)  **Physical address**: [INSERT ADDRESS]  **E-mail**: [INSERT E-MAIL]  [DELETE IF NOT APPLICABLE –  **Alternative contact**  **FAO**: [INSERT NAME], [INSERT POSITION]  (or their successor in this role)  **Physical address**: [INSERT ADDRESS]  **E-mail**: [INSERT E-MAIL] |
| **Address for Breach Notices** (see Main Terms, clause [18.3]) | **FAO**: [INSERT NAME], [INSERT POSITION]  (or their successor in this role)  **Physical address**: [INSERT ADDRESS]  **E-mail**: [INSERT E-MAIL]  [DELETE IF NOT APPLICABLE –  **Alternative contact**  **FAO**: [INSERT NAME], [INSERT POSITION]  (or their successor in this role)  **Physical address**: [INSERT ADDRESS]  **E-mail**: [INSERT E-MAIL]] |
| **Affiliate(s)** | [N/A IF NOT APPLICABLE] |

|  |  |  |
| --- | --- | --- |
| **Name** | **Legal Entity Identifier (LEI)** | **Category 2 Organisation Type** |
| [INSERT NAME] | [INSERT LEI] | [TO BE PRE-POPULATED BASED ON USER ORGANISATION TYPE] |
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SECTION C - SUBSCRIPTION DETAILS

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| **DSB Service type** | Ancillary Service – [SELECT CATEGORY – Premium Support / Enterprise Access / BT Radianz™ Connectivity / Other Connectivity] |
| **Linked Core Services** | [SELECT– OTC ISIN / UPI / OTC ISIN and UPI] |
| **Environment** | [FOR ENTERPRISE SELECT– UAT / Production / UAT and Production, OR SELECT N/A] |
| **Commencement Date** | [INSERT DATE] |
| **Minimum Term** | [SELECT – 1 year / 3 years] |
| **Additional terms** | Additional Terms for Ancillary Services (available at <https://www.anna-dsb.com/download/dsb-additional-terms-for-ancillary-services/>)  The Initial Invoicing Period will end on 31 December 2024.  Section 2.5(c) of the DSB Charges Policy will apply. |
| **Status** | Current |
| **Termination effective date** | Not applicable |

[ADD ADDITIONAL SUBSCRIPTIONS IF APPLICABLE WITH THE SAME DETAILS AS ABOVE]

SECTION D – RECORD OF AMENDMENTS TO SUBSCRIPTION FORM

| Version | Subscription Form Date | Change Details |
| --- | --- | --- |
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**THIS SUBSCRIPTION FORM HAS BEEN ENTERED INTO ON THE SUBSCRIPTION FORM DATE.**

Signed by ………………………………….:

For and on behalf of

**DSB**

Position: DSB Board Member

Date:

Signed by ………………………………….:

For and on behalf of

**[USER NAME]**

Position:

Date: