#	Question	Answer
1	Will production be one environment for ISIN +UPI?	Yes. The UPI Service was introduced into the ISIN UAT environment in July 2023 so that both services will be in one environment. The standalone UPI UAT environment will be decommissioned in January 2024. The UPI Service was introduced into the ISIN Production environment on 16 October 2023 ensuring both services are in one environment from go-live.
2	Do we need to purchase a license to access UAT environment after Oct 16th?	API users have 6 month free access in UAT. After 6 months, users should either purchase a production license (which includes UAT access) or a UAT-only license.
3	Have the final costs for each Role been finalized? Where can I see them?	Yes - the fees for each user type are available here - https://www.anna-dsb.com/upi-fees-rules-2023/
4	How will a client know if a UPI request actually requires a new UPI to be created.	Users can use the search functionality. If the UPI already exists it will be returned in the Search.
5	Release 1 contains 1 commodity template with the breaking change DSB-2757. Firms only need to align with the template upgrades in Release 1 for 15th Oct? Release 2 on 5th Nov includes the remaining templates with the DSB-2757 so the current templates will continue to work with Array until 5th Nov when they will require String. Firms should continue using current templates until Release 2 i.e. array not string for DSB-2757 (exlucing the Release 1 which should have been upgraded on 15th Oct by firms)?	For Release 1 firms need to address the one breaking template change in relation to DSB-2757, users will also need to be ready for the UPI being returned in the 57 record templates that are changed. The other templates as part of DSB-2757, will continue to remain as array until the 5th November. So yes, the current request templates will remain supported until then.
6	Will UPIs be pre-created by ANNA-DSB?	To complement User creation of UPIs, the DSB will use the OTC ISIN universe to generate and add UPIs to the existing OTC ISIN records from 16 October 2023 - this exercise is referred to as 'Pre-Population'. For further information, please refer to slides 12 and 13 of the deck - https://www.anna-dsb.com/download/dsb-upi-faq-presentation/

7	We currently call the ANNA DSB OTC ISIN REST service, we get the ISIN back and seems like from the 15th Oct it the service will also be returning the UPI. We will not be using the UPI until next year. Do we still need to subscribe to the UPI service before the 15th Oct even if we don't intend to use the UPI until next year. We prefer to start our UPI subscription in Jan 2024 when actually plan to use the UPI.	Users will not be charged for the UPI service until they begin to use the UPI data. Prior to using the data, Users are required to electronically sign the UPI subscription form in the Client Onboarding and Support Platform (COSP) and provide their billing details for invoice generation. The DSB will contact existing OTC ISIN users who have not purchased a UPI subscription, to ask them to attest that the UPI Code available to them from October 2023 will not be used for any purpose until a UPI subscription has been purchased.
8	Will you be sharing this deck following this meeting?	Yes, the deck is available here - https://www.anna-dsb.com/download/dsb-upi-faq-presentation/
9	ISIN EOD Files. If we download the ANNA ISIN Snapshot on the 21 Jan 2024, will all the ISIN records in the snapshot contain the UPI?	Yes. Please note that that the download will also contain the OTC ISINs which have been deprecated and these will not have a UPI associated with them.
10	Hi, as per DSB User policy (DSB User Policy_v7.1_2023_FINAL Clean.pdf), par. 7.3, I understand that if someone is an "Intermediary (FREE)" user, there is a specific requirement for its End Users to be either "Power users" or "Search only API users", right? Is the same requirement in place for the end users in case the user is a "Power" & "Intermediary" user (the 2nd from end column in the online fee schedule table)? Thank you	Yes, all End Users of either an "Intermediary" or a "Power & Intermediary" must acquire a "Power User" or "Search Only" API user subscription.
11	Will the UPI values created as part of ISIN creation be available in daily UPI File Download files?	Yes. Any UPIs created that day will be included in the daily download files, regardless of who created them (i.e. whether by DSB (as part of its Pre-Population exercise) or by Users).
12	In continuation of my previous question, in which scenario an "Intermediary" must also have a "Power user" license? Thank you	An Intermediary will be considered an End User of the DSB Service if it is also using any API Data for its own uses, whether that be internal or eventually external after transformation or enhancement with its own- or third-party- data. Any such User must acquire a Subscription and pay the Fees set out in the Charges Policy. This could be a Power User or Search Only API User. The Power User license differs from the Search Only API User license in that a Power User can create UPIs, the number of searches and the number of records returned per search is more and more API connections.

13	What will be UPI template for the InterestRate:Exotic where the underlyer is a Bond. currently on the Floating rate index are supported for Rates UPI template	Please view the DSB website for product definition information including underliers - https://www.anna-dsb.com/product-definitions1/#upi-product-definitions, https://www.anna-dsb.com/upi-enumerations/, https://www.anna-dsb.com/download/upi-enumeration-definition-reference-rate/. Please raise a support ticket in the COSP with details of the specification to be entered and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
14	Is an ISIN or Sedol a must for creation of UPI?	Please view the DSB website for product definition information including underliers - https://www.anna-dsb.com/product-definitions1/#upi-product-definitions, https://www.anna-dsb.com/upi-enumerations/, https://www.anna-dsb.com/download/upi-enumeration-definition-reference-rate/
15	Is Primary Check done for all Alternative IDs?	For further information, including details on the permissioning process and workflows, please see the Alternative Identifier FAQs. - https://www.anna-dsb.com/wp-content/uploads/2023/08/UPI_Service_Alternative-Identifiers_FAQ_V1.1.pdf
16	How do I request permissions to receive alternative IDs through the file download	Access to use Alternative Identifiers via the UPI Service needs to be permissioned prior to accessing any data. A User cannot be permissioned if they do not have a fee-paying User type for the DSB Service and will need to onboard via the COSP. Therefore, Registered Users are unable to access Alternative Identifiers as this is a free User-Type. There is a separate FAQ document for the Alternative Identifiers - https://www.anna-dsb.com/download/upi-service-faq-on-alternative-identifiers/
17	How will be get UPI for those that do not have an isin?	From Monday 16 October, Users of the UPI Service will be able to create new UPIs for all UPI product templates. In addition, to complement User creation of UPIs, the DSB will use the OTC ISIN universe to generate and add UPIs to the existing OTC ISIN records, referred to as 'Pre-Population'. The UPI codes and associated reference data records will also be available in the UPI database.

18	Users can create/ retrieve UPIs from 16th October 2023 with no restrictions as in no user caps will be applicable by DSB until 1st Regulatory Go-Live, i.e. 29th January 2023 ?	Users who wish to search for or create UPIs manually or programmatically should sign-up to one of the User Type subscriptions. For information about different levels of User access and associated limits, please refer to the options on the ANNA-DSB website - https://cosp.anna-dsb.com/home#upi-service-plans. The limits per User Type apply from 16 October 2023 through to the end of the Initial Invoicing Period on 31 December 2024. Section 2.5 of the DSB Charges Policy explains how the limits apply when subscribing part way through the calendar year.
19	Can you please send that link for the UPI's that Andy is talking about	Link from slide 12: https://www.anna-dsb.com/download/otc-isin-pre-population-process/ Link from slide 13: https://www.anna-dsb.com/otc-isin-pre-population/ Link from slide 15: https://www.anna-dsb.com/download/dsb-file-download/
20	Looking at the UAT environment we are not able to access the end of day UPI archive. Could you clarify if such files will only be available in PROD?	Access to the services is permissioned - if you are an existing OTC ISIN user you will need to configure access to UPI via the COSP. Please reach out to our Technical Support Team if you require further information.
21	Why do you refer to Parent UPI in slide 8, and not simply to UPI? Or is there a difference between parent UPI and UPI	No: There is no difference. The reference to a "Parent UPI" indicates that the UPI is stored on the OTC ISIN record as a 'Parent' to the OTC ISIN due to the hierarchical relationship between the two identifiers. For more information on this relationship see https://www.anna-dsb.com/download/otc-derivatives-identifier-framework/
22	For to ToTV file download, will UPI not be present until it is populated as part of the pre-population porcess?	The UPI is not included in the TOTV File Download.
23	With regards to the relationship between the ISIN-UPI, can you briefly review that nexus again? If a user requests a UPI for a financial product that does not have ISIN- does that prevent the user from requesting a UPI for that product?	The request for a UPI is not impacted by the existence or absence of a related OTC ISIN. For more information on the relationship between the UPI and the OTC ISIN see https://www.anna-dsb.com/download/otc-derivatives-identifier-framework/

24	For those that have to create a UPI for pre-population for those without isin, is there a restriction on calls? or is this also unrestricted (in same way as those with isin for the pre-population)?	To complement User creation of UPIs, the DSB will use the OTC ISIN universe to generate and add UPIs to the existing OTC ISIN records from 16 October 2023 - this exercise is referred to as 'Pre-Population'. The UPI codes and associated reference data records will also be available in the UPI database. Users who wish to search for or create UPIs manually or programmatically should sign-up to one of the User Type subscriptions. For information about different levels of User access and associated limits, please refer to the options on the ANNA-DSB website - https://cosp.anna-dsb.com/home#upi-service-plans.
25	Will non-fee paying users be able to access daily File Downloads?	Yes, Registered Users can access the OTC ISIN File Downloads on a T+0 basis and the UPI File Downloads on a T+1 basis.
26	1. The ENUM list of underliers appears to have many duplicative values. Will those be cleaned up before go live? If not how will duplicative UPIs be cleaned up? 2. How will new underlier identifiers be requested and added? 3. ISDA reference rates are being used, but they are currently working on cleaning them all up and adding new ones, but that will not be completed before Oct 16th. How will UPIs be migrated to the new values later? 4. When will UPIs for virtual currencies be available?	The underliers used by the DSB are sourced from a number of 'golden sources', all of which are detailed in the DSB enumeration document and referenced in the product definitions. Please see the DSB website for product definition information including underliers - https://www.anna-dsb.com/product-definitions1/#upi-product-definitions , https://www.anna-dsb.com/upi-enumerations/ , https://www.anna-dsb.com/download/upi-enumeration- Regarding the ISDA commodity review process – The DSB is aligned with the ISDA release process and will make any new CRP's available as they are released. Virtual currencies as an underlier to an OTC derivative are under the remit of the DSB Digital Asset Subcommittee. A document will be published in the near future.
27	Could you do an example of the search process and results for each type of user?	The DSB will publish an explainer document setting out how to create, and search for, UPIs using the GUI shortly.

28	WIll there be any impact on existing users from 16 October, do they have to register again	Connectivity is not changing, so existing Users will be able to connect as they do today and access the existing service. The OTC ISIN service is changing, to incorporate the UPI code being returned. However, there are also changes to support the introduction of the UPI service and to support the process of updating the existing OTC ISIN population with UPIs. The full release details are located here: https://www.anna-dsb.com/otc-isin-release-to-accommodate-upi-service/ An additional document which goes into further details can be access here: https://www.anna-dsb.com/download/otc-isin-pre-population-process/ Due to the sequencing of the pre-population process, the first release is on the 15th October, where 57 of the record templates will be released. There is also one template in the release which has an unrelated breaking changed under DSB-2757 – this is Request.Commodities.Swap.Single_Index.InstRefData Reporting.json – so please be aware that if you use this request template one of the data attributes is changing data types from array to string. The DSB are processing the OTC ISIN's associated with the first 57 templates over 3 weeks.
		After this, the second release of templates will take place on 5th November. This will introduce the UPI Code to all remaining OTC ISIN record templates. However, DSB-2757 also impacts this release, with a further set of request changes being impacted. This is summarised in detail on slides 16 to 27 of the pre-population document.
29	What is the user classifcation to be able to download alternative identifer UPI files. e.g UPI.Cusip?	Access to use Alternative Identifiers via the UPI Service needs to be permissioned prior to accessing any data. A User cannot be permissioned if they do not have a fee-paying User type for the DSB Service and will need to onboard via the COSP. Therefore, Registered Users are unable to access Alternative Identifiers as this is a free User-Type. There is a separate FAQ document for the Alternative Identifiers - https://www.anna-dsb.com/download/upi-service-faq-on-alternative-identifiers/
30	We tried to sign up to the COSP site to register our company but it kept giving us a user that is not a COSP Admin. How can I convert the user that I signed up with into an Admin user?	Please raise a support ticket for further assistance via this link https://www.anna-dsb.com/contact-us/

31	Can a Power User use web-front end to create a new UPI?	Yes: UPI Power users have both API and GUI (web front end) access and will be able to create UPIs.
32	Looking at the UAT environment we are not able to see the end of day UPI archives. Could you clarify if such files will only be available in PROD?	Access to the services is permissioned - if you are an existing OTC ISIN user you will need to configure access to the UPI Service via the COSP. Please reach out to our Technical Support Team at technical.support@anna-dsb.com if you require further information.
33	Are these user types available for UAT prior to enabling a paid subscription? I ask because we are unsure if we need more than the Registered User type	Yes: All User Types are available in the UAT environment. The UPI Guide (page 26 onwards) explains the key decisions to be made by the User to help determine the DSB User Type that is right for them. https://www.anna-dsb.com/download/upi-guide/
34	Can the same company have multiple free registered users?	Individuals from the same company can sign up, individually, as Registered Users.
35	When I submit a UPI to my TR, does the TR have any restrictions preventing them from displaying the underlying taxonomy values back to me on my message details?	The DSB is not in a position to confirm the functionalty of the TRs.
36	Would fee paying production users be able to use UAT free of cost i.e. don't have to pay any additional fee?	Yes: UAT access is included in the Production license.
37	Is there a copy-over of UPIs from PROD to UAT ?	No: The UPIs in the UAT environments are test UPIs only.
38	Our operations involvs approximately 20 OTC transactions per day across various asset classes. These include Credit Default Swaps (CDS), FX Forwards, FX Options, OTC Options, Swaps, Futures, Total Return Swaps (TRS), Forwards, and Inflation-Linked Bond. Could you then inform us of the minimum User Type required to fulfill our specified needs?	The UPI Guide (page 26 onwards) explains the key decisions to be made by the User to help determine the DSB User Type that is right for them. https://www.anna-dsb.com/download/upi-guide/
39	What is the release into production on 5th Nov, what does Non-Swap mean?	The swap release has been used to refer to the set of templates which can be used to create an OTC ISIN which can then be referenced by other OTC ISIN templates. The Non-Swap is all of the rest. Please refer to the following document for more details: https://www.anna-dsb.com/download/otc-isin-pre-population-process/

40	This has NOT been answered Live, please answer.. Release 1 contains 1 commodity template with the breaking change DSB-2757. Firms only need to align with the template upgrades in Release 1 for 15th Oct? Release 2 on 5th Nov includes the remaining templates with the DSB-2757 so the current templates will continue to work with Array until 5th Nov when they will require String. Firms should continue using current templates until Release 2 i.e. array not string for DSB-2757 (exlucing the Release 1 which should have been upgraded on 15th Oct by firms)?	For Release 1 firms need to address the one breaking template change in relation to DSB-2757, Users will also need to be ready for the UPI being returned in the 57 record templates that are changed. The other templates as part of DSB-2757, will continue to remain as array until the 5th November. The current request templates will remain supported until then.
41	The integration of UPIs into our intermediary is a priority. - How can UPIs be loaded into our intermediary through API?	Intermediaries will be required to acquire a Subscription from the DSB in order to access the Data or use the DSB Services. Intermediaries should review Section 7 of the User Policy to understand whether a Power or Search Only Subscription is also required - https://www.anna-dsb.com/policy-documents-october-2023-updates/ For more information on the set up process, Intermediary workflows are available on page 5 and 6 of the FAQs - https://www.anna-dsb.com/download/upi-faq/
42	Is a UPI also required for DoddFrank?	Please view the Regulatory Use of Identifiers table on the DSB website which provides an overview of the current and proposed mandates relating to the use of the UPI, including for the United States - https://www.anna-dsb.com/regulatory-use-identifiers/ - for regulatory use of the UPI.
43	With the EQ templates and Release 2 all having a date of 5th Nov, does that imply that Eq users of the OTC ISIN +UPI service essentially go live on the 5th Nov, and will not be able to get a UPI from Oct 15th?	Subscribed Users can use the UPI Service for all templates from the 16th October 2023. If Users intend to use the OTC ISIN Service to access UPIs for Equity, the Equity OTC ISIN templates are being released in Release 2 on November 5th.

44	Hi Andy - regarding the ISIN snapshot, you mentioned they will include deprecated records that will not have ISIN. Does ANNA DSB intend to generate the UPI at some point for these deprecated records? and can you confirm if this applies to all deprecated records or just some?	The weekly OTC ISIN snapshot will include all OTC ISINs including deprecated ones. DSB test analysis indicates approximately 0.6% of OTC ISIN records will be assigned the status of deprecated. The DSB cannot correct these OTC ISINs because it does not know what the Users intended with the original set of attributes. For example, where a User has entered an invalid ISIN underlier in the past (which passed the check digit validation but had an invalid 2-char prefix) the DSB is unable to confirm what the correct underlying ISIN should have been.
45	ISIN EOD Files Oct-16 - Jan 24 (pre-population process). In this period will you publish 2 separate files - one with the newly created / modified Isins and the second file for the backfill?	New OTC ISINs are being written to the daily delta files Deprecated OTC ISINs by the pre-population process are being written to the same daily delta files Migrated OTC ISINs by the pre-population process are being written to a separate ISIN Migration daily delta The weekly snapshot will include the current status of all OTC ISINs each week. Please see the file download document for more information: https://www.anna-dsb.com/download/dsb-file-download/
46	From where can we determine our UAT "start date"?	An email is sent when User's connectivity is first set up and this will be the start of the free UAT period. However, if that is no longer available, please raise a support case in the COSP and the Support team will be able to advise.
47	Does intermediary include view only use cases? or only when UPIs are created? and if applies to view only use cases, are there any differences between sharing data from EOD files vs intra day data received via API?	No: An intermediary will have access to both real time data via the API and the EOD file (provided the connection has been established correctly).
48	When I look up UPIs in UAT, why are multiple UPIs retuned for what seems to be the same specification (perhaps with different spellings or created from different sources) isn't this UPI project supposed to rationalize all that?	Please raise a support ticket in the COSP with details of the specifications entered and results and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
49	After 6 months, if firms have fee access e.g. Power user will continue access to UAT or a separate fee is associated.	Continued access to UAT is included in the Production license.
50	After the UPI subscription, will all the existing ISIN user ids will be given the API access for retrieving the parent record ?	DSB will start the process which attempts to assign a UPI code (the UPI parent) to each existing OTC ISIN record from 16 October 2023. Obtaining the full UPI record via the UPI Service will require a separate user ID specific to the UPI Service.

51	Can we also talk about the # of requests per license.	For information about different levels of User access and associated limits, please refer to the options on the ANNA-DSB website - https://cosp.anna-dsb.com/home#upi-service-plans.
52	4.Third-Party UPI Sources: you mentioned that an account is mandatory if we are receiving UPI data within a 24-hour period form third-party sources. Could you confirm whether a "Registered" account would be enough for this requirement? Furthmore, we expect to receive UPIs via reports in formats such as CSV form our counterparties. Does this mean that we need to have an account with ANNA DSB for reporting purposes if the reports with (also) UPIs are provided to us by third parties? E.g. a trade repository provide the UPI data from counterparty in an ALLEGE report.	A Registered User is only permitted to receive UPI data after the 24 hour period. Users receiving data ahead of the T+1 File Download, must be fee-paying. If the reports will be received ahead of the 24 hour period, and the sharing of DSB data is not necessary to trade, clear, settle, administer or report an OTC derivative transaction on that date, then a Subscription to the UPI Service would be required.
53	Sorry, if I missed this earlier. Can you demonstrate the GUI for searching a UPI for different products?	The DSB will publish shortly an explainer document on how to create, and search for, UPIs using the GUI.
54	We would appreciate having a dedicated contact for detailed contract discussions . (e.g. provisions surrounding the termination of services with a 30-day notice), Could you please name one?	The DSB has a common agreement in place which ensures parity and equal terms across the User base. With a common agreement in place, it is not possible to accommodate bilateral amendments to the agreement. However, the DSB holds an annual industry consultation process. Please raise a support ticket in the COSP for further help: https://www.anna-dsb.com/contact-us/
55	Is the OTC ISIN service running in the UPI UAT env? Whats the difference between the UPIUAT and UAT env? Thanks	The UPIUAT environment was provided 9 months prior to the first UPI regulatory mandate (the US rules which apply from 29 January 2024) to allow Users to consume the UPI service. The UPI Service was then integrated with the UAT environment 9 months prior to the EU regulatory mandate (which starts in April 2024). Users are encouraged to test the OTC ISIN service via the UAT environment. UPIUAT will be decommissioned in the future as the services are now available from a single (UAT) environment.
56	Is there a specific time when UPI services will be released to production on 16th October?	The UPI Service will be implemented in Production on Sunday 15th October 2023; the Service will be made available from 12:30PM UTC.

57	7.7 An Intermediary shall be considered an End User of the DSB Service itself if it is also using any API Data for In continuation of my previous question, in order to understand when an Intermediary needs also a Power user license, further to User policy 7.7. "7.7 An Intermediary shall be considered an End User of the DSB Service itself if it is also using any API Data for its own uses, whether that be internal or eventually external after transformation or enhancement with their own- or third-party data." I understand that the usage of UPI for the facilitation of transaction reporting of end users is NOT considered to be "own usage" as per the above definition, thus this Intermediary does not need a Power User subscription himself, right? Thank you	If the DSB data is being enhanced with additional data or being transformed as part of this workstream then a Power or Search Only Subscription will be required. If the data is being passed on to the End User, then there is no requirement for the Intermediary to have a Power or Search Only Subscription.
58	What data will be published in the UAT environment starting October 16, 2023? Will the UPIs you generate from the existing OTC ISINs also be published later in the UAT environment?	Data in the UAT environments is test data. A set of test UPIs have been generated from the test OTC ISINs in the UAT environment. Production data is not replicated into the UAT environments.
59	If I as a Firm we are already an ISIN Power User, once the Agreement / Legals for UPI are signed off what needs to be completed via the COSP in order to start receiving UPI's, or is this automatic.	The COSP enables users to subscribe to the UPI Service and guides them through the onboarding process. Via the COSP, users can manage connectivity to both the UPI testing and live environments, sign the UPI subscription form, provide billing details, complete API certification (where relevant) and raise support tickets.
60	Are existing ISIN Power users required to go through API certification to become an ISIN & UPI Power user?	If the ISIN Power User intends to access the UPI via the OTC ISIN request templates then API re-certification is not required. However, if the ISIN Power User intends to access via UPI request templates, then API certification for the UPI templates is required.
61	Will an infrequent user be able to retrieve all uPI's that have been pre-populated - i.e. the task starting on 16th October in Prod environment ?	Yes, UPI Infrequent Users will be able to search for any UPIs that have been created by other Users or by the pre-population process.
62	Will the UAT access change to a regular fee paying user and will an invoice be sent if I dont object or if I dont close the account?	Free API access to the UAT environment is granted for 6 months. After 6 months Users still requiring UAT access must either purchase a Power or Search-only production license or a UAT-only license.

63	Portfolio swaps are highly traded products. Where it is treated as basket of swaps; each underlying security will have its own D-ISIN. When we have new client(s) onboarded and clients decide to ramp up positions; we could see anywhere between 2K to ~ 3K activities on unique security on a given business day. This could affect the weekly call limit to ANNADSB even for power users when new clients are boarded. How are ANNADSB planning to provide a service to clients to support Portfolio swaps?	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
64	When will the billing details will be available on COSP?	The COSP workflow to allow UPI Users to provide billing details, to generate an invoice and for infrequent users to pay in advance using debit/credit card is now available to users.
65	Why can't all Swap and Non-Swap UPIs be shared on Oct 16th? Pre-population must be done earlier as regulators require firms to backload all open all trades on 28th Jan!	The expected schedule of the Pre-Population process, based on the same process running in the UAT environment, shows that all active OTC ISINs should finish processing by 2 December 2023. Between 2 December and 23 January the expired non-swap OTC ISINs will be processed. Please refer to the OTC ISIN UPI Pre-Population document for details. https://www.anna-dsb.com/download/otc-isin-pre-population-process/.
66	Search API is limited to 250 searches .. can a search be for multiple UPIs, or limited to one template only?	The Acceptable Use Policy is linked to the number of search requests made, not the number of records returned by a request.
67	In UAT there are option products referencing ISIN underlier only. Will option products be updated in production and UAT to reference UPI underlier	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
68	After completing FIX and REST certifications, will it be possible to us create the FIX/REST connections on COSP before Oct 15th so that we are ready before production release?	No: It will only be possible to set up API connections for the UPI Service in the COSP from 16 October 2023. Once FIX/REST certification is complete, that status will be reflected as such in the COSP. Those connections will be activated as soon as the other Production pre-requisites are complete in the COSP - signing of the UPI Subscription Form and completion of Billing Details.
69	So just to double confirm, the sanpshot file will include all the live UPIs upto the given day, not just the ones that were created on that day, Correct?	The UPI weekly snapshot file will include all live UPIs. The daily file download file is the delta file and contains all new UPIs created that day. The UPI Weekly Snapshot is in testing and will be available in UAT from 28 October 2023 and in Production from 19 November 2023.

70	Will the UPI file download file available from Prod include the UPI records only ie approx 700,00 records	The UPI weekly snapshot file will include all live UPIs. The daily file download file is the delta file and contains all new UPIs created that day. The UPI Weekly Snapshot is in testing and will be available in UAT from 28 October 2023 and in Production from 19 November 2023.
71	How do you count the Searches for UPI ?	User activity is logged in audit files which the DSB will monitor as per the DSB Acceptable Use Policy - https://www.anna-dsb.com/download/dsb-acceptable-use-policy_v6-1_2023_final_clean/
72	When setting up a UPI is there a standard list of reference rates which should be used and how will this be maintained?	Please view the DSB website for product definition information including enumerations and reference rates - https://www.anna-dsb.com/product-definitions1/#upi-product-definitions, https://www.anna-dsb.com/upi-enumerations/, https://www.anna-dsb.com/download/upi-enumeration-definition-reference-rate/
73	For most of IRS Swaps we manage at my company we dont have ISIN related and for EMIR Refit validation rule we would need to open field 2.8 related to UPI, is then ISIN mandatory for UPI creation?	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
74	Can you please indicate where the table comparing the data in the CFI vs UPI vs ISIN can be found on your website? Thanks.	https://www.anna-dsb.com/download/the-otc-derivatives-identifier-framework-a-closer-look/
75	If we completed the subscription and the billing function for UPI and wish to use the existing ISIN API connectivity to query UPI, do we still have to go through the FIX/ReST certification?	If the ISIN Power user intends to access the UPI via the OTC ISIN request templates then API re-certification is not required. However, if the ISIN Power user intends to access via UPI request templates, then API certification for the UPI templates is required.
76	Can we get a list of all the questions and answers, in addition to the recording?	Yes
77	we faced issues accessing ISIN FDL section in UAT service. this was later fixed with the help of support with a new login to access FDL section. Post UPI release on October 16th, should we expect similar issue in Production FDL section?	Thank you for raising this issue in UAT. The UAT issue accessing the ISIN FDL via existing programmatic accounts has now been resolved in all environments, so the DSB does not expect any further issues. Of course, if you have any problems from the 16th October, please contact DBS Technical Support: technical.support@anna-dsb.com
78	Can you clarify differences between REST API and FIX please? It's not clear from documentation.	API Access to the DSB UPI core cost recovery services will be via both ReST and FIX API. Rules of Engagement (ROE) documents for both interfaces are available on the DSB website - https://www.anna-dsb.com/download/dsb-rest-api-with-upi-changes/ and https://www.anna-dsb.com/download/dsb-fix-api-with-upi-changes/

79	We were informed in DTCC call about multiple DSB environments with distinct UPIs e.g. UPI only, UPI+ISIN. Will it be similar set up in Production?	There will only be one Production environment which will have UPI and ISIN.
80	Hi Andy. One of your answers is "Existing programmatic users will need to update their system to accommodate the changes being made. However, existing users should be able to continue to access the existing OTC ISIN service." We are under the impression that the ISIN API connection will remain as is without any changes, and we use a separate UPI API for UPI only updates. We know that the ISIN message will contain a UPI element, but no other changes to config are expected. Is that right?	Connectivity is not changing, so existing users will be able to connect as they do today and access the existing service. The OTC ISIN service is changing, to incorporate the UPI code being returned. However, there are also changes to support the introduction of the UPI service and to support the process of updating the existing OTC ISIN population with UPI's. The full release details are located here: https://www.anna-dsb.com/otc-isin-release-to-accommodate-upi-service/ An additional document which goes into further details can be access here: https://www.anna-dsb.com/download/otc-isin-pre-population-process/ Due to the sequencing of the pre-population process, the first release is on the 15th October, where 57 of the record templates will be released. There is also one template in the release which has an unrelated breaking changed under DSB-2757 – this is Request.Commodities.Swap.Single_Index.InstRefData Reporting.json – so please be aware that if you use this request template one of the data attributes is changing data types from array to string. The DSB are processing the OTC ISIN's associated with the first 57 templates over 3 weeks.
		After this, the 2nd release of templates will take place on 5th November. This will introduce the UPI Code to all remaining OTC ISIN record templates. However, DSB-2757 also impacts this release, with a further set of request changes being impacted. This is summarised in detail on slides 16 to 27 of the pre-population document.
81	Hi, will you be sharing the recording from today?	Yes, the recording is here - https://www.anna-dsb.com/wp-content/uploads/2023/10/DSB-UPI-FAQ-webinar-recording-4-October-2023.mp4 and presentation pack is here - https://www.anna-dsb.com/download/dsb-upi-faq-presentation/
82	If we start to send string as opposed to array for the UnderlyingInstrumentISIN in Eq templates starting to the Prod OTC ISIN Service infrom the 15th Oct, will this be supported?	No, you will get an error. The changes to the equity request templates are not being made until the second release on the 5th November. Only the Request.Commodities.Swap.Single_Index.InstRefData Reporting.json request template is changing on the 15th October.

83	What about Spot, not OTC derivative but could we get a UPI?	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
84	Some of our clients will probably have programmatic access (API) to ANNA DSB. At what intervals must the security certificate be renewed?	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
85	Since the Pre-population process ends 23/01/24 for Release 2, firms might find it difficult to backload UPIs on all their live trade population for asset class- FX, Equity, etc. given (CFTC goes live on 29th Jan). Also this means creation of UPIs from 16th Oct might result in exhausting user limit that a firm might have, any suggestions/ comments ?	The expected schedule of the Pre-Population process, based on the same process running in the UAT environment, shows that all active OTC ISINs should finish processing by 2 December 2023. Between 2 December and 23 January the expired non-swap OTC ISINs will be processed. Please refer to the OTC ISIN UPI Pre-Population document for details. https://www.anna-dsb.com/download/otc-isin-pre-population-process/.
86	For deprecated ISIN, can we ask for correction/update of those ?	Where a UPI cannot be assigned to the OTC ISIN during the Pre-Population process, the OTC ISIN will be updated with a status of deprecated. This occurs when OTC ISIN attributes do not meet the requirements of the product templates. Reasons why an OTC ISIN cannot be assigned a UPI are listed on slide 4 of the Pre-Population document - https://www.anna-dsb.com/download/otc-isin-pre-population-process/.
87	How can we back populate UPI,we have to update all live trades with the UPI for CFTC regulatory rules	From Monday 16 October, Users of the UPI Service will be able to create new UPIs for all UPI product templates. In addition, to complement User creation of UPIs, the DSB will use the OTC ISIN universe to generate and add UPIs to the existing OTC ISIN records, referred to as 'Pre-Population'.
88	You are going to share the presentation material by some means	Yes - https://www.anna-dsb.com/wp-content/uploads/2023/10/DSB-UPI-FAQ-webinar-recording-4-October-2023.mp4
89	With regards to OTC CFDs whose attributes are static, would we need to go through the same procedure to get the relevant UPIs as we would for other non CFD instruments? Or would be a one time UPI set population to be used throughout the lifetime of the OTC CFD contract?	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/
90	For some Rates FRO Libor transactions historically we have been reporting "LIBOfallback" in either the Underlier ID-Leg 1 or 2 fields. But it's not entirely clear what reference rate we would now use to determine the UPI for these. Please can you advise	Please raise a support ticket in the COSP with more details of this request and the Support team will be happy to investigate. https://www.anna-dsb.com/contact-us/