**DSB Governance Advisory Committee Member Application**

**Term: 2024 – 2026**

*All completed applications should be sent with the CV of the applicant to* [*GACMembership@anna-dsb.com*](mailto:GACMembership@anna-dsb.com?subject=GAC%20Membership%20Enquiry)

1. **Identification**

|  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- |
| Surname, forename | |  | | | |
| Job title | |  | | | |
| Company name | |  | | | |
| Company size (employees) | | 🞏 <10 | 🞏 <50 | 🞏 <250 | 🞏 >250 |
| Contact details | Address |  | | | |
| Phone |  | | | |
| Email |  | | | |

1. **Membership / Representation**

Please refer to the [DSB Governance Advisory Committee Charter](https://www.anna-dsb.com/download/dsb-pc-charter-term-4-final/) for more information about any of the categories and/or sub-categories below.

|  |  |  |
| --- | --- | --- |
| Functional category to be represented  (tick all that apply) | 🞏 | Banks |
| 🞏 | Brokerages |
| 🞏 | Clearing Houses |
| 🞏 | Commodity Trading Advisors |
| 🞏 | Corporates |
| 🞏 | Custodians |
| 🞏 | Data Management Vendors & Technology Service Providers |
| 🞏 | Institutional Asset Management Services |
| 🞏 | Pension Fund Managers |
| 🞏 | OTC Derivatives Trade Execution Platforms |
| 🞏 | Trade Repositories |
| 🞏 | Wealth Management Services |
| 🞏 | Other - please specify |

|  |  |  |
| --- | --- | --- |
| Geographic regions to be represented (tick all that apply) | 🞏 | Asia |
| 🞏 | Europe |
| 🞏 | Middle East / Africa |
| 🞏 | North America |
| 🞏 | Oceania |
| 🞏 | South and Central America |

1. **Mandatory Criteria**

|  |  |  |
| --- | --- | --- |
| Minimum 5 years of relevant professional experience in the financial services sector shall be required for those representing financial market participants | 🞏 Yes | 🞏 No |
| Availability to participate in meetings 4 times a year (once a quarter) and commitment to carry out work between meetings in response to requests from the Governance Advisory Committee. | 🞏 Yes | 🞏 No |
| English writing and oral communication skills to enable the candidate to actively participate in the work of the Group | 🞏 Yes | 🞏 No |

1. **Experience**

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **How do you rate your level of experience in the following OTC Derivative Asset Classes?** | | | | |
| Commodity Derivatives | 🞏 None | 🞏 Low | 🞏 Medium | 🞏 High |
| Credit Derivatives | 🞏 None | 🞏 Low | 🞏 Medium | 🞏 High |
| Equity Derivatives | 🞏 None | 🞏 Low | 🞏 Medium | 🞏 High |
| FX Derivatives | 🞏 None | 🞏 Low | 🞏 Medium | 🞏 High |
| Interest Rate Derivatives | 🞏 None | 🞏 Low | 🞏 Medium | 🞏 High |
| Cross-Asset Derivatives | 🞏 None | 🞏 Low | 🞏 Medium | 🞏 High |

|  |  |  |  |
| --- | --- | --- | --- |
| **Do you have experience in the following areas – as they apply to OTC Derivatives?** | | | |
| Clearing and Settlement | | 🞏 Yes | 🞏 No |
| Financial Regulations and Compliance | | 🞏 Yes | 🞏 No |
| Legal including Licensing and IPR | | 🞏 Yes | 🞏 No |
| Pre and Post Trade Processing | | 🞏 Yes | 🞏 No |
| Project and/or Business Management | | 🞏 Yes | 🞏 No |
| Reference Data Analysis | | 🞏 Yes | 🞏 No |
| Trading Technology | | 🞏 Yes | 🞏 No |
| Other (please specify) … |  | | |

|  |  |  |
| --- | --- | --- |
| Do you have any relevant experience in international standards implementation/governance, in a management role, in an advisory group, or in a strategic role in the financial services sector? | 🞏 Yes | 🞏 No |
| If the answer to this question is Yes, please provide details: | | |

|  |  |  |
| --- | --- | --- |
| Are there any other factors that you think might enhance your contribution as a member of the DSB Governance Advisory Committee or that might limit your ability to commit time or effort productivity e.g. participation in OTC derivatives industry WGs? | 🞏 Yes | 🞏 No |
| If the answer to this question is Yes, please provide details: | | |

1. **Eligibility**

|  |  |  |
| --- | --- | --- |
| 1. Have you been disbarred from participating or acting in any non-profit, for-profit, charitable or other organization by any national or international bodies or determined to be guilty of any unethical or illegal activity; convicted of an offence concerning your professional conduct by a judgment which has the force of res judicata; convicted of grave professional misconduct or been the subject of a judgment which has the force of res judicata for fraud, corruption, involvement in a criminal organisation or any other illegal activity? | 🞏 Yes | 🞏 No |
| 1. Is there an actual, perceived or expected employment, ownership or other relationships that might affect, or appear to affect, your ability to act impartially and independently as a member of the DSB Governance Advisory Committee or that others may perceive to constitute to be a conflict? | 🞏 Yes | 🞏 No |
| If the answer to question (ii) above is Yes…  How would you propose to address any such actual or perceived conflicts in the decision making of the DSB Governance Advisory Committee? | | |

1. **Declaration**

I confirm that all provided information is accurate. Should I be selected to become a member of the DSB Governance Advisory Committee I commit to abide by the Governance Advisory Committee Charter (including Competition Law Protocol) and uphold the objectives of the DSB as set out in the statutes.

**Signed:**

**Name:**

**Date:**

By submitting an application as a DSB Governance Advisory Committee Member, you recognize that:

No legal rights or property interests are created and that you agree to release and hold harmless the DSB, the individual members of the DSB and their representatives, and the founder ANNA from any claims related to the decision not to select the applicant for membership to the DSB Governance Advisory Committee. Hereby the applicant also confirms that the submitted information are veracious.

The DSB is GDPR Compliant

Applicants who are not initially selected to become members of the Committee could remain on a list of experts with the possibility to be invited to become a Committee member at a later stage, were a vacancy to arise during the stated term. Participants may also be invited to join sub-committees focusing on specific matters e.g. asset class specific discussions.

I consent to revisit my application for future vacancies in the Committee: 🞏 yes 🞏 no